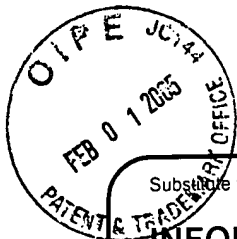


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Sheet 2 of 2**Complete if Known**

Application Number	10/820,876
Filing Date	April 9, 2004
First Named Inventor	Daniel Breen et al.
Art Unit	To be assigned
Examiner Name	To be assigned
Attorney Docket Number	SSB0005

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	Peagram, Norman, "Chicago chases market share in equity derivatives", Euromoney, Vol. 295, pp. 96(3), November 1993	
	2	Freeman, Louis S. et al., "Tax consequences of business and investment-driven uses of derivatives", Taxes, Vol. 72, No. 12, pp. 947-994, December 1994	
	3	Neish, Stephen, "Will synthetic convertibles save you money?", Corporate Finance, Iss. 135, pp. 45 (2), February 1996	
	4	"Raising debt at a cost below prevailing market rates", International Tax, pp. 21-40, June 2000	
	5	Tunick, Britt et al., "Fending Off Hedge Funds: New convert strategies aim to counter short sales and dilution", The Investment Dealers' Digest, pp. 9-10, March 10, 2003	
	6	Santini, Laura, "Zeros Reenter The Convert Fray: Two recent deals use "call spreads" to immunize against dilution", The Investment Dealers' Digest, p. 1, June 23, 2003	
	7	"TUI goes bullish with innovative option", Corporate Finance, p. 6, November 2003	
	8	Santini, Laura, "Sepracor CFO Hustles To Bring Zero Converts: A classic CFO's financing dilemma leads to a novel solution", The Investment Dealers' Digest, p. 10, December 15, 2003	

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